



**Pomona Investment Fund Investor Application
(Integrity Advisor Solutions — Class M2 Shares)**

This Investor Application is utilized for the offering of shares of beneficial interest (the “Shares”) of the Pomona Investment Fund (the “Fund”). This Investor Application may be used only by approved intermediary wealth management platforms associated with private banks or trust companies and other approved parties that will allocate shares solely to investors that are “accredited investors” within the meaning given to such term in Regulation D under the U.S. Securities Act of 1933, as amended (the “Securities Act”).

NOTE that subscriptions by individual retirement accounts (IRAs) require the signature of the qualified IRA custodian or trustee of the IRA.

Acknowledgment

- A. I agree to become a shareholder of the Fund and in connection therewith subscribe for and agree to purchase Shares of the Fund on the terms provided for herein, in the Prospectus, the Statement of Additional Information, the Agreement and Declaration of Trust, and the By-Laws (collectively, the “Fund Agreements”) and in the Privacy Policy of the Fund in which I am investing and agree to be bound by their terms and conditions. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- B. I authorize the Fund and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with the procedures described in the Prospectus for this account. I agree that neither the Fund nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions.
- C. I am aware that an investment in the Fund involves substantial risks and have determined that a subscription is a suitable investment for me and that, at this time, I can bear a complete loss of my entire investment therein.
- D. I understand that under the Fund Agreements, shareholders cannot withdraw from the Fund and Shares cannot be transferred, except as provided in the Fund Agreements. I understand that liquidity will generally only be available through periodic tender offers by the Fund, that the Fund is under no legal obligation to conduct any such tender offers. Consequently, I acknowledge that I am aware that I may have to bear the economic risk of investment in the Fund indefinitely.
- E. I will acquire Shares of the Fund for my own account for investment purposes only, and not with a view to or for the re-sale, distribution or fractionalization thereof, in whole or in part. I agree not to offer, sell, transfer, pledge, hypothecate or otherwise dispose of, directly or indirectly, all or any number of the Shares or any interest therein, except in accordance with the terms and provisions of the Fund Agreements and applicable law.
- F. I certify that I am not a Foreign Financial Institution as defined in the U.S.A. Patriot Act.
- G.
1. I certify that if I am a Fiduciary executing this investor certification on behalf of an employee benefit plan as defined in Section 3(3) of the United States Employee Retirement Income Security Act of 1974, as amended (“ERISA”), that is subject to ERISA (a “Plan”), I represent and warrant that Pomona Management LLC (the “Investment Manager”), and its affiliates have not acted as a Fiduciary under ERISA with respect to the purchase, holding or disposition of Shares, and that no advice provided by the Investment Manager or any of its affiliates has formed a basis for any investment decision by the Plan or me in connection with such purchase, holding or disposition.
2. I further represent and warrant that the investment by the Plan in the Fund is prudent for the Plan (taking into account any applicable liquidity and diversification requirements of ERISA), and that the investment in the Fund is permitted under ERISA, the Internal Revenue Code, other applicable law and the governing plan documents of the Plan.
 3. I further represent and warrant that the Plan’s purchase of the Shares does not, and will not (to the best of the Plan’s knowledge and assuming compliance by the Fund with its governing agreements), result in a non-exempt prohibited transaction under Section 406 of ERISA or Section 4975 of the Internal Revenue Code (or in the case of any governmental plan or other plan that is not subject to the foregoing-referenced Section 406 or Section 4975, any Federal, state or local law that is substantially similar thereto).
- H. In connection with the Fund’s efforts to comply with applicable laws concerning money laundering and related activities, I represent, warrant and agree that to the best of my knowledge based upon reasonable diligence and investigation:
1. I am not (nor is any person or entity controlled by, controlling or under common control with me, or any of my beneficial owners) any of the following:
 - a. A person or entity listed in the Annex to Executive Order 13224 (2001) issued by the President of the United States, which is posted on the website of the U.S. Department of Treasury (<http://www.treas.gov>).
 - b. Named on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Office of Foreign Assets Control (OFAC), which is posted on the website of the U.S. Department of Treasury (<http://www.treas.gov>) under “OFAC/SDN List.”
 - c. A person or entity resident in, or whose subscription funds are transferred from or through an account in, a foreign country or territory that has been designated as a “Non-Cooperative Jurisdiction” by the Financial Action Task Force.
 - d. A person or entity resident in, or in the case of an entity organized or chartered under the laws of, a jurisdiction that has been designated by the Secretary of the U.S. Treasury under Sections 311 or 312 of the U.S.A. Patriot Act, and the regulations promulgated thereunder as warranting special measures due to money laundering concerns. For updates, see the website of the U.S. Department of Treasury (<http://www.treas.gov>).

- e. A foreign shell bank (See U.S.A. Patriot Act and related regulations for definition).
 - f. A senior foreign political Figure. This restriction on senior foreign political Figures also applies to any immediate family member of such Figure or close associate of such Figure (See U.S.A. Patriot Act and related regulations for definition).
2. No consideration that I have contributed or will contribute to the Fund:
 - a. Shall originate from, nor will it be routed through, a foreign shell bank or a bank organized or chartered under the laws of a Non-Cooperative Jurisdiction.
 - b. Has been or shall be derived from, or Related to, any activity that is deemed criminal under U.S. law.
 - c. Shall cause the Fund or the Investment Manager to be in violation of the U.S. Bank Secrecy Act and all other federal anti-money laundering regulations.
 3. I understand and agree that if at any time it is discovered that any of the representations in this Section H are incorrect, or if otherwise required by applicable law related to money laundering and similar activities, the Investment Manager, in its sole discretion and notwithstanding anything to the contrary in the Fund's Fund Agreements, as they may be amended or modified from time to time, undertake appropriate actions to ensure compliance with applicable law, including but not limited to freezing, segregating or redeeming my subscription in the Fund.
 4. I further understand that the Fund or the Investment Manager may release confidential information about me and, if applicable, any underlying beneficial ownership, to proper authorities if the Fund or the Investment Manager, in its sole discretion, determines that it is in the best interests of the Fund in light of applicable law concerning money laundering and similar activities.
 5. I agree to provide to the Fund any additional information that the Fund deems necessary or appropriate to ensure compliance with all applicable laws concerning money laundering and similar activities. I shall promptly notify the Fund if any of the representations in this Section H cease to be true and accurate. I agree to call the Fund if I need more information about Section H or if I am unsure whether any of the categories apply to me.
- I. I understand that the Fund and its affiliates are relying on the certification and agreements made herein in determining my qualification and suitability as an investor in the Fund. I understand that an investment in the Fund is not appropriate for, and may not be acquired by, any person who cannot make this certification, and, to the extent permitted by applicable law, agree to indemnify the Fund, the Investment Manager and its affiliates, and their respective directors, trustees, managers, members, shareholders, partners, officers, and employees and hold each of them harmless from any liability that they may incur as a result of this certification being untrue in any respect.
 - J. The representations, warranties, agreements, undertakings and acknowledgments made by me in this Investor Application are made with the intent that they be relied upon by the Fund in determining my suitability as an investor in the Fund, and shall survive my investment. I agree to provide, if requested, any additional information that may reasonably be required to determine eligibility to invest in the Fund or to enable the Fund to determine the Fund's compliance with applicable regulatory requirements or tax status. In addition, I undertake to notify the Fund immediately of any change with respect to any of the information or representations made herein and to provide the Fund with such further information as the Fund may reasonably require.
 - K. I acknowledge that this Investor Application shall be governed by and construed and enforced in accordance with the laws of the State of Delaware with all rights being governed by Delaware law without regard to any applicable rules relating to conflicts of laws.

Pomona Investment Fund Subscription Document

The Fund accepts investments from individuals or entities with a U.S. Social Security Number or Taxpayer Identification Number. Please note that the value of your account may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.

Initial Investment Subsequent Investment (Please complete Sections 1, 3, 5, 6, 9, 10, and 11 only unless changes to other Sections are needed)

1. Investor Registration (check only one type below; may not be a minor)

Individual Joint* Individual Retirement Account (IRA)

Owner's Name (first, middle, last) _____ Social Security Number _____ Date of Birth _____

Joint Owner's Name (first, middle, last) _____ Social Security Number _____ Date of Birth _____

* *Joint tenants with rights of survivorship, unless otherwise noted.*

Trust C-Corporation S-Corporation Partnership Government

Other Entity: _____

LLC Classified for tax purposes by one of the following:
 Partnership C-Corporation S-Corporation

NOTE: Trust instrument or other organizational documentation required.

Entity Name _____ Tax Identification Number _____ Date of Trust (if applicable) _____

Trustee (if applicable) _____ Social Security Number _____ Date of Birth (if applicable) _____

Additional Trustee (if applicable) _____ Social Security Number _____ Date of Birth (if applicable) _____

Check if appropriate: I am an exempt recipient as defined under U.S. federal income tax regulations (e.g., C-Corporation, financial institution, registered broker-dealer, or tax-exempt organization).

Exempt Payee Code: _____ (see IRS Form W-9 for a list of exempt payee codes)

2. Mailing Address and Other Contact Information

(Investor Applications will only be accepted if they contain a U.S. street address.)

Street Address (If PO Box, please indicate the residential/street address below.) _____

City _____ State _____ ZIP _____

Daytime Telephone _____ Evening Telephone _____

E-mail Address* _____ Fax Number _____

Additional Address or Residential/Street Address Send copies of confirmations and statements for this account to:

Name _____ E-mail Address* _____

Street Address _____ City _____ State _____ Zip _____

* Please note that by providing an e-mail address, you are consenting to electronic delivery of Fund documentation if and when it becomes available. Your selection applies to any periodic reports and all other account-related documents that the Fund will send to you. Many of the documents will contain confidential information that is specific to your private financial matters. Regardless of the delivery method you select, the Fund will take reasonable precautions to ensure the integrity, confidentiality and security of the documents, but will not be liable for any interception.

Please note that you will first receive a hard copy trade confirmation with your account number which you will need to register your account on-line for electronic delivery. Once you register, the Fund will deliver a document to you by sending you an e-mail that contains a link to the document. Such selection will remain in effect as long as you maintain an investment with the Fund or until you notify the Fund of a change. The Fund does not impose any additional charge for electronic delivery, but you may incur charges from your Internet service provider and your telephone company or other Internet access provider. In addition, there are risks, such as systems outages, that are associated with electronic delivery.

3. Custodian Information (must be completed for IRA and custodied taxable accounts)

Name		Custodian Tax ID	
Street Address	City	State	Zip
Phone Number			

4. Custodian Bank Information (must be completed for IRA and custodied taxable accounts)

Custodian Bank Name		Bank Phone Number	
Bank Address	City	State	Zip
Name(s) on Bank Account			
Bank Account Number		ABA Number (available from your bank)	
For Further Credit Name		For Further Credit Account Number	

5. Intermediary Investment Advisor Information* (must be completed)

Firm CRD#/IARD	Investment Advisor Name	Rep CRD/IARD	
Investment Advisor Address	City	State	Zip
Investment Advisor Email Address		Investment Advisor Phone Number	

* Prospective investors are advised and hereby acknowledge that the Investment Manager and/or its respective affiliates may pay ongoing consideration to intermediaries in connection with the offering and sale of Shares and/or ongoing services provided by such parties in connection therewith.

6. Investment Instructions (Initial Investment minimum is \$5,000,000 for Class M Shares)

- Purchase by check: make check payable to Pomona Investment Fund.
 - Purchase by wire: (wire instructions are on cover page).
- \$ _____ Class M2 Shares subscription amount

7. Distribution Instructions (Reinvestment required for IRAs.)

All distributions will be reinvested unless one of the following is checked:

- Send all distributions via WIRE to the Custodian listed in Section 4.

8. Cost Basis Election

The Fund has elected the average cost method as the default cost basis method for purposes of this requirement. If a Shareholder wishes to accept the average cost method as its default cost basis calculation method in respect of Shares in its account, the Shareholder does not need to take any additional action. If, however, a Shareholder wishes to affirmatively elect an alternative cost basis calculation method other than average cost in respect of its Shares, please select one of the following:

- | | |
|---|---|
| <input type="checkbox"/> FIFO (first in, first out) | <input type="checkbox"/> HILT (highest long term, first out) |
| <input type="checkbox"/> LIFO (last in, first out) | <input type="checkbox"/> HIST (highest short term, first out) |
| <input type="checkbox"/> LOFO (lowest in, first out) | <input type="checkbox"/> Specific Lot Identification |
| <input type="checkbox"/> LILT (lowest long term, first out) | <input type="checkbox"/> HIFO (highest in, first out) |

9. Accredited Investor Status (must be completed)

I certify that I am an “accredited investor” at the time of my investment in the Fund because I satisfy one or more of the categories of qualified client listed below.

The subscriber is: (write corresponding letter(s) in box provided)

- A. A natural person who individually or together with a spouse has a “net worth” in excess of \$1.0 million. For purposes of determining net worth,
 - i. the person’s primary residence shall not be included as an asset;
 - ii. indebtedness that is secured by the person’s primary residence, up to the estimated fair market value of the primary residence at the time of the proposed subscription date, shall not be included as a liability (except that if the amount of such indebtedness outstanding at the proposed subscription date exceeds the amount outstanding 60 days before such date, other than as a result of the acquisition of the primary residence, the amount of such excess shall be included as a liability); and
 - iii. indebtedness that is secured by the person’s primary residence in excess of the estimated fair market value of the primary residence at the proposed subscription date shall be included as a liability;
- B. A natural person who had a gross individual gross income in excess of \$200,000 (or joint income together with a spouse in excess of \$300,000) in each of the two previous years and reasonably expects a gross individual income in excess of \$200,000 (or joint income together with a spouse in excess of \$300,000) this year;
- C. An entity that has total assets in excess of \$5,000,000 AND was not formed for the specific purpose of acquiring the securities offered, AND is any of the following:
 - a corporation;
 - a partnership;
 - a Massachusetts or similar business trust; OR
 - an organization described in Section 501(c)(3) of the Internal Revenue Code.
- D. An entity who is any of the following:
 - a trust, not formed for the specific purpose of acquiring the securities offered, with total assets in excess of \$5,000,000 and whose purchase is directed by a sophisticated person;
 - a bank, or any savings and loan association or other institution acting in its individual or fiduciary capacity;
 - a broker or dealer;
 - an insurance company;
 - a private business development company under the Investment Advisers Act of 1940;
 - a Small Business Investment Company licensed by the U.S. Small Business Administration;
 - a plan established and maintained by a State or any of its political subdivisions or any agency or instrument thereof for the benefit of its employees and has total assets in excess of \$5,000,000;
 - an employee benefit plan within the meaning of ERISA, and the investment decision to acquire Shares has been made by a plan fiduciary, as defined in section 3(21) of ERISA, which is either a bank, savings and loan association, insurance company or registered investment adviser;
 - an employee benefit plan within the meaning of ERISA, and has total assets in excess of \$5,000,000 or, if a self-directed plan, with investment decisions made solely by persons that are “accredited investors.”
 - an IRA plan or revocable trust where each grantor is an “accredited investor.” The fund, in its sole discretion may request information regarding the basis on which each such grantor is an “accredited investor.”
- E. An investment company or a business development company under the Investment Company Act of 1940, as amended;
- F. An entity in which all of the beneficial owners are investors described in one or more of categories A through E above.

10. Acknowledgment and Signature (All account owners/trustees must sign on the following page.)

By signing below:

- I certify that I have received and read the current Prospectus, Statement of Additional Information, Privacy Policy, and Investor Application of the Fund in which I am investing and agree to be bound by the terms and conditions of each. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
- I authorize Pomona Investment Fund and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with the procedures described in the Prospectus for this account. I agree that neither Pomona Investment Fund nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions.
- I certify that I am not a Foreign Financial Institution as defined in the USA Patriot Act.

Under penalty of perjury, I certify that:

1. The Social Security Number or Taxpayer Identification Number shown on this application is correct.
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding.
3. I am a U.S citizen or other U.S. Person (including resident alien).
4. I am exempt from FATCA reporting.

Note: Cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid back-up withholding.

AN INVESTMENT IN THE FUND SHOULD BE CONSIDERED A SPECULATIVE INVESTMENT THAT ENTAILS SUBSTANTIAL RISKS, INCLUDING BUT NOT LIMITED TO:

- LOSS OF CAPITAL, UP TO THE ENTIRE AMOUNT OF A SHAREHOLDER'S INVESTMENT
- THE FUND'S SHARES ARE ILLIQUID SECURITIES AND AN INVESTMENT IN THE FUND IS APPROPRIATE ONLY FOR THOSE INVESTORS WHO DO NOT REQUIRE A LIQUID INVESTMENT
- SHARES WILL NOT BE LISTED ON ANY NATIONAL OR OTHER SECURITIES EXCHANGE AND NO SECONDARY MARKET IS EXPECTED TO DEVELOP FOR SHARES OF THE FUND.
- SHARES ARE SUBJECT TO SUBSTANTIAL RESTRICTIONS ON TRANSFERABILITY, AND LIQUIDITY, IF ANY, MAY BE PROVIDED BY THE FUND ONLY THROUGH REPURCHASE OFFERS, WHICH MAY, BUT ARE NOT REQUIRED TO, BE MADE FROM TIME TO TIME BY THE FUND AS DETERMINED BY THE FUND'S BOARD OF TRUSTEES IN ITS SOLE DISCRETION
- AN INVESTMENT IN THE FUND IS APPROPRIATE ONLY FOR THOSE INVESTORS WHO CAN TOLERATE A HIGH DEGREE OF RISK AND DO NOT REQUIRE A LIQUID INVESTMENT AND FOR WHOM AN INVESTMENT IN THE FUND DOES NOT CONSTITUTE A COMPLETE INVESTMENT PROGRAM.

If this is an individual retirement account, the custodian or trustee of the account is also required to execute this Application below:

Signature of Owner, Trustee or Custodian

Date

Signature of Joint Owner, Trustee or Custodian (if applicable)

Date

Printed name(s) of Authorized Signer(s) (for verification purposes)

11. FINRA - Registered Brokers/Representatives must complete Section 11A. SEC-registered Investment Adviser Representatives must complete Section 11B.

A. Broker/Financial Advisor Information & Signatures [Internal Use Only]

By signing below:

- I certify that I am a broker, financial advisor or other investor representative duly licensed or exempt from licensing and lawfully able to sell Shares in the jurisdiction of the legal residence of the subscriber.
- I have reasonable grounds to believe that the information and representations concerning the subscriber contained herein are true, correct and complete in all respects.
- I have verified that the form of ownership selected is accurate, secured all identifying and supporting documents, including, without limitation, copies of trust agreements, where applicable, and if other than individual ownership, verified that the individual executing on behalf of the subscriber is properly authorized and identified.
- My firm has, acting in its capacity as agent, broker, financial advisor or other investor representative, performed functions required by U.S. federal and state securities laws, including, but not limited to Know Your Customer, Patriot Act (AML and Customer Identification) as required by its relationship with the subscriber identified in this Subscription Agreement.

Name of Broker/Financial Advisor/Other Investor Representative

Signature of Broker/Financial Advisor/Other Investor Representative

Date

Name of Registered Supervisory Principal

Signature of Registered Supervisory Principal

Date

B. Registered Investor Adviser/Investment Adviser Representative Information & Signatures

By signing below:

- I certify that my firm is a SEC-registered investment adviser duly licensed and lawfully able to transact business in Shares in the jurisdiction of the legal residence of the subscriber.
- I have made every reasonable effort to determine the eligibility and Accredited Investor status of subscriber for this purchase of Shares and the information and representations concerning the subscriber contained herein are true, correct and complete in all respects.
- I have verified that the form of ownership selected is accurate, secured all identifying and supporting documents, including, without limitation, copies of trust agreements, where applicable, and if other than individual ownership, verified that the individual executing on behalf of the subscriber is properly authorized and identified. In addition, I have taken reasonable steps to verify and document that the purpose and nature of the account is legitimate and that the client's wealth and source of funds for this investment is not from criminal proceeds.
- I represent and warrant that I have not made and will not make any representations concerning the Fund except as contained in the Prospectus or in sales materials provided by the Fund or Voya Investments Distributor, LLC ("Distributor") and that I have not and will not distribute any other sales material relating to the Fund without the prior written approval of Distributor. I further represent that I will retain such documents and records as required under applicable law and will make such documents and records available to (a) the Distributor or Fund upon request; and (b) representatives of the SEC, FINRA and applicable state securities administrators upon the Distributor's or Fund's receipt of an appropriate document subpoena or other appropriate request for documents from any such agency.
- I agree to indemnify and hold harmless the Fund, Distributor, and their respective officers, directors, employees, affiliates or agents from and against any losses, claims, damages, liabilities or expenses (including reasonable attorneys' fees and expenses) claimed to have resulted from (a) my negligence or violation of any applicable law or regulation; or (b) any breach of the representations and warranties set forth herein by me or any of my officers, directors, employees or agents.
- My firm has, acting in its capacity as agent, broker, financial adviser or other investor representative, performed functions required by U.S. federal and state securities laws, including, as required by its relationship with the subscriber identified in this Subscription Agreement.

Name of Investment Adviser/Other Investor Representative

Signature of Investment Adviser/Other Investor Representative

Date

Name of Registered Supervisory Principal (if applicable)

Signature of Registered Supervisory Principal

Date

Voya Investments Distributor, LLC

Account Application
For Integrity Advisor Solutions
Pomona Investment Fund

Voya Investments Distributor, LLC
One Orange Way
Windsor, CT 06095

1 Please Tell Us About Yourself

Important Information About Procedures for Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity who opens an account.

What this means for you: When you apply for an account, for individuals we ask you your name, address, date of birth, tax identification number and other information, as applicable, that will allow us to identify you. For entities (such as corporation, partnership or trust), we ask you for name, a principal place of business, local office or other physical location (not a P.O. Box), and tax identifying number. We may also ask individuals or entities to provide identifying documents.

Applicant

Print Name	Date of Birth	Social Security Number			
<input type="checkbox"/> Employed	<input type="checkbox"/> Self - employed	<input type="checkbox"/> Not Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Student	<input type="checkbox"/> Other
Job Title	Occupation	Employer			
Years with Employer	Business Address				

The following section is required only if your account will have more than one registered owner.

Joint Owner's Name (if any)	Date of Birth	Joint Owner's Social Security Number			
<input type="checkbox"/> Employed	<input type="checkbox"/> Self - employed	<input type="checkbox"/> Not Employed	<input type="checkbox"/> Retired	<input type="checkbox"/> Student	<input type="checkbox"/> Other

If you have more than one Joint Owner, provide a copy of this page and complete the section above.

All Applicants

Industry and Other Affiliations

A copy of Voya Investments Distributor, LLC's privacy policy has been provided along with this Application.

All Applicants

Yes No

Are you or is your entity:

Affiliated or associated with the securities industry (for example, a sole proprietor, partner, officer, director, or branch manager of a broker-dealer firm) or a financial regulatory agency?

If yes, please specify entity below. If employed by the entity and, if required, please provide a letter from your employer (with this Application) approving establishment of this account.

Broker-Dealer or Municipal Securities Dealer Investment Adviser
 FINRA State or Federal Securities Regulator

Name of entity(ies)

Address of entity(ies)

Yes No

A 10% (or more) shareholder in a publicly-owned company?

Name of company and symbol

2 Signature and Certification (All registered owners/Trustees/Authorized Signers must sign.)

By signing this form, I certify that I have received, read and understand the Prospectus and Pomona Investment Fund Application in which I am investing and agree to their terms. If a Trustee/Authorized Signer, I have the full authority and legal capacity to purchase shares of the Pomona Investment Fund on behalf of the Individual/ Entity designated in Section 1, am of legal age in my state to purchase such shares, and believe that the purchaser is qualified as an Accredited Investor and believe each investment is suitable for the purchaser on whose behalf I am appointed to act.

I authorize Voya Investments Distributor, LLC and their agents to act for any service authorized on this Application on any instructions they believe to be genuine and that are received from me or any Trustee/Authorized Signer. I further understand that I must carefully review each account confirmation statement or other documentation of transactions that I receive to ensure that my instructions have been properly acted upon. If any discrepancies are noted, I agree to notify Voya Investments Distributor, the Pomona Investment Fund, or the Transfer Agent in a timely manner, but in no event more than 15 days from receipt of such confirmation statement or documentation of transaction. I acknowledge that failure to notify one of the above entities on a timely basis will relieve such entities of any liability with respect to the transaction and any discrepancy. See the Purchases of Shares and Repurchases and Transfers of Shares sections in the Prospectus for procedures. I am of legal age. Sign below exactly as printed in Section 1. For joint registration, all must sign.

Withholding Information (Substitute Form W-9)

Under the Interest and Dividend Tax Compliance Act of 1983, the Fund(s) is (are) required to have the following certification: Under the penalties of perjury I certify by signing this Account Application as provided below that:

- The number shown in Section 2 of this Account Application is the correct Tax Identification Number (or I am waiting for a number to be issued to me), **and**
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (the "IRS") that I am subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified me that I am no longer subject to backup withholding (this paragraph (2) does not apply to real estate transactions, mortgage interest paid, the acquisition or abandonment of secured property, contributions to an individual retirement arrangement and payments other than interest and dividends), and
- I am a U.S. person (this includes U.S. citizens and U.S. resident aliens).
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

YOU MUST CROSS OUT AND INITIAL THE SECOND PARAGRAPH ABOVE IF YOU HAVE BEEN NOTIFIED BY THE IRS THAT YOU ARE CURRENTLY SUBJECT TO BACKUP WITHHOLDING BECAUSE OF UNDER-REPORTING INTEREST OR DIVIDENDS ON YOUR TAX RETURN.

In addition, Voya Investments Distributor, LLC hereby incorporates by reference into this section of the Account Application either the IRS instructions for Form W-9 or the substance of those instructions, whichever is attached to this Account Application.

Signature Provisions

- I certify that the information which I have provided and the information which is included within the Account Application and any attached material included herein by reference is true and accurate, including, but not limited to, the representations contained in the Withholding Information section of this Account Application .
- I certify under penalties of perjury that I/we are not involved in any money laundering schemes, and the source of this investment is not derived from any criminal activities; the information provided on this form and documents submitted are true, correct and complete and they are submitted with the intent that they will be relied upon by the Funds in determining the suitability of me/us as an investor/investors in the Funds.
- If representing an Entity, I certify that each of the persons listed below has been duly elected, and is now legally holding the office set forth opposite his/her name and has the authority to make this authorization. (Please print titles below if signing on behalf of a corporation or partnership to establish this account.)
- I agree that in no event will the Fund or service provider to the Fund be liable for (1) any loss, cost, or other liability caused directly or indirectly by war, natural disasters, acts of terrorism, governmental restriction or other conditions beyond their reasonable control; or (2) any indirect or consequential damages.
- I agree that neither any Fund nor any service provider to the Fund will be liable for any loss, cost, expense or other liability arising out of any instructions transmitted by telephone, electronically, in writing or by any other means believed by the Fund or service provider to be genuine and in accordance with procedures described in the relevant prospectus and/or statement of additional information, including liabilities arising from fraudulent use of the signature of an authorized signer, whether any such signature be authentic or forged, or from any instructions purportedly from an authorized signer which are fraudulent, signed or otherwise.
- I shall promptly provide notification of any changes to the information provided in this Account Application. I, and if applicable, the Entity on whose behalf I am acting, each agrees to indemnify and hold harmless Voya Investments Distributor, LLC any affiliates, service providers to these entities, and the directors or officers of any of these entities for any action taken as a result of any instructions provided by me and/or any person(s) listed as Trustees/Authorized Signers in this Account Application.
- In the case of a Custodian, I have complete authority to act on behalf the minor or beneficiary identified in this Account Application.
- In the case of an Entity, I understand that "I" refers to the Entity and each Trustee/Authorized Signer and that each of these has complete authority to act on behalf of all and give instructions on the Entity's account without notice to the others. Voya Investments Distributor, LLC, in its sole discretion, and for its protection, may require the written consent of all Trustees/Authorized Signers prior to acting upon the instructions of any Trustees/Authorized Signers.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

3 Signatures

Primary Applicant Signature

Date

Primary Applicant Name (please print)

Joint Applicant Signature (if applicable)

Date

Joint Applicant Name (please print) if applicable

Signature of Trustee/Authorized Signer

Date

Name of Registered Representative presenting the account to Voya Investments Distributor, LLC

Signature of Registered Representative presenting the account to Voya Investments Distributor, LLC

Date

Name of Registered Principal accepting the account on behalf of Voya Investments Distributor, LLC **[For Internal Use Only]**

Signature of Registered Principal accepting the account on behalf of Voya Investments Distributor, LLC **[For Internal Use Only]**

Date

4 Account Agreement Terms and Conditions

In consideration of opening one or more accounts on your behalf, and with respect to any type of transaction that you may have with Voya, you agree as follows:

- 1. Authority and Ownership.** You have the required legal capacity, are authorized to enter into this agreement, and have obtained and will provide Voya with all necessary authorizations or corporate resolutions from third parties to open accounts and effect transactions in securities under this agreement. You will be the owner of all securities purchased, held and sold on your behalf by Voya.
- 2. Appointment of Voya Investments Distributor, LLC as Agent.** I appoint Voya as my agent for the purpose of carrying out my directions with respect to the purchase or sale of securities. To carry out Voya's duties, Voya is authorized to place and withdraw orders, provide information to third parties and take such other steps as are reasonable to carry out my directions.
- 3. Force Majeure.** Voya shall not be liable for loss or delay caused directly or indirectly by war, natural disasters, government restrictions, exchange or market rulings or other conditions beyond Voya's control.
- 4. Identification Verification.** To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means to me: When I open an account, Voya will ask for my name, address, date of birth and other information that will allow Voya to identify me. Voya may also ask to see a valid government issued identification or other identifying documents.
- 5. No Advice.** I understand that Voya provide no tax, legal, or investment advisory services and all transactions will be done only on my order or the order of my authorized delegate as described in paragraph 1.
- 6. Access to Information.** Voya may provide non-affiliated third parties with information if: (i) it is required by law or by rules or regulations relating to Voya or financial institutions Voya have contracted with to provide financial services (such as regulations that require Voya to provide banks, thrifts, credit unions and/or their related service corporations with customer information the institution needs to monitor compliance issues); (ii) it is necessary for Voya to communicate such information to process a financial transaction for me or provide a product or service that I have requested; or (iii) otherwise permitted by law or Voya's Privacy Promise. **YOU ACKNOWLEDGE THAT YOU HAVE RECEIVED A COPY OF VOYA'S PRIVACY PROMISE AT THE TIME YOU SIGNED THIS APPLICATION AND AGREEMENT.**
- 7. Amendments and Termination.** Voya may amend this agreement at any time in any respect, effective upon notice to you. Voya may, at Voya's discretion, terminate this service at any time, effective upon notice to you. You will continue to be responsible for any obligations incurred by you prior to termination.
- 8. Governing Law.** This agreement and any disputes, controversies or claims arising out of or related to this agreement shall be governed by the applicable laws of the State of New York and applicable federal law.

9. **Resolving Disputes – Arbitration.** THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLAUSE. BY SIGNING AN ARBITRATION AGREEMENT THE PARTIES AGREE AS FOLLOWS:

- (A) ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY, EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORUM IN WHICH A CLAIM IS FILED.
- (B) ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED.
- (C) THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS.
- (D) THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASON(S) FOR THEIR AWARD UNLESS, IN AN ELIGIBLE CASE, A JOINT REQUEST FOR AN EXPLAINED DECISION HAS BEEN SUBMITTED BY ALL PARTIES TO THE PANEL AT LEAST 20 DAYS PRIOR TO THE FIRST SCHEDULED HEARING DATE.
- (E) THE PANEL OF ARBITRATORS MAY TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.
- (F) THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT.
- (G) THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

YOU AGREE THAT ANY DISPUTE BETWEEN YOU (INCLUDING THE ENTITY ON WHOSE BEHALF YOUR ARE ENTERING INTO THIS AGREEMENT) AND Voya Investments Distributor, LLC ARISING OUT OF THIS AGREEMENT SHALL BE SUBMITTED TO ARBITRATION CONDUCTED UNDER THE THEN APPLICABLE PROVISIONS OF THE CODE OF ARBITRATION PROCEDURE OF FINRA. ARBITRATION MUST BE COMMENCED WITHIN THE APPLICABLE PROVISIONS OF THE CODE OF ARBITRATION PROCEDURE OF FINRA. ARBITRATION MUST BE COMMENCED WITHIN THE APPLICABLE STATUTE OF LIMITATIONS. THE ARBITRATION AWARD SHALL BE FINAL AND JUDGMENT MAY BE ENTERED ON THE AWARD IN ANY COURT, STATE OR FEDERAL, HAVING JURISDICTION.

NO PERSON OR ENTITY SHALL BRING A PUTATIVE OR CERTIFIED CLASS ACTION TO ARBITRATION, NOR SEEK TO ENFORCE ANY PRE-DISPUTE ARBITRATION AGREEMENT AGAINST ANY PERSON WHO HAS INITIATED IN COURT A PUTATIVE CLASS ACTION; OR WHO IS A MEMBER OF A PUTATIVE CLASS WHO HAS NOT OPTED OUT OF THE CLASS WITH RESPECT TO ANY CLAIMS ENCOMPASSED BY THE PUTATIVE CLASS ACTION UNTIL: (I) THE CLASS CERTIFICATION IS DENIED; OR (II) THE CLASS IS DECERTIFIED; OR (III) THE CUSTOMER IS EXCLUDED FROM THE CLASS BY THE COURT. SUCH FORBEARANCE TO ENFORCE AN AGREEMENT TO ARBITRATE SHALL NOT CONSTITUTE A WAIVER OF ANY RIGHTS UNDER THIS AGREEMENT EXCEPT TO THE EXTENT STATED HEREIN.

- 10. **Review and Indemnification.** You have reviewed the terms and conditions of this agreement and hereby verify that all the information provided is true and correct and may be relied upon by Voya for the purposes of evaluating your suitability and sophistication in relation to making securities recommendations. Further, you hereby indemnify Voya for any loss, claims or damages, including legal fees, which Voya may incur as a result of any securities recommendations or any securities related violations resulting from Voya's reliance upon the information you have provided. You also acknowledge your responsibility to read the prospectus of any mutual fund/ direct participation program, and/or public offering sold by prospectus, which contains complete information regarding investment objectives, risks and other material facts, including sales charges.
- 11. **FINRA Public Disclosure.** To receive information concerning the licensing status and/or disciplinary record of a broker-dealer or agent, contact FINRA at the following toll-free number (800) 289-9999, or the Web site address at www.finra.org.
- 12. **SIPC Public Disclosure.** Voya Investments Distributor, LLC is a member of the Securities Investor Protection Corporation (SIPC). You can obtain information about SIPC, including a brochure, by contacting SIPC at (202) 371-8300 or on their Web site at www.sipc.org.
- 13. **Other Information.** For more information concerning investments and other important disclosures about our business, please visit our Web site at www.voyainvestments.com.

5 Business Continuity Plan Summary

Voya Investments Distributors, LLC (“Voya”) understands the importance of ensuring our customers have continued access to their funds and securities in the event our home office operations are impacted by a disaster. As a result, we have developed and maintain a business continuity plan that describes the means by which Voya’s home office operations in Windsor, Connecticut will respond to future significant business disruptions of varying degrees of severity. Voya’s home office operations in Windsor, Connecticut are equipped for resumption of business in the event a significant business disruption affects the building, business district, city and/or the region. We will continue to conduct business during those disruptions and may choose to relocate key personnel and services to our designated backup facilities. Relocations of critical functions will be completed within four hours of the significant business disruption.

In addition, several of our critical functions are performed in our affiliated offices located in New York, NY, Scottsdale, AZ and Atlanta, GA.—each has complete business continuity plans designed to allow them to continue operations in the event they experience a significant business disruption. Their plans include redundancies, alternate facilities and recovery time objectives that support our plan. In the event of a significant business disruption at the Voya main location in Windsor, Connecticut, customers may access their Voya accounts by calling 844-399-5210 for service on their accounts.

Please be advised that while we have detailed plans in place, we cannot guarantee we will be able to recover as quickly as outlined above under all possible circumstances. Our recovery time objective may be negatively impacted by the unavailability of third parties and/or other circumstances beyond our control. Our business continuity plan is reviewed and tested throughout the year and is subject to modification. To obtain the most current version of this summary, you may contact your Voya representative to request a copy.

Privacy Notice



FACTS WHAT DOES VOYA FINANCIAL DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Social Security number and account balance • Assets and transaction or loss history • Investment experience and employment information
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Voya chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Voya share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We don't share

To limit our sharing	<ul style="list-style-type: none"> • Call our toll-free number (855) 685-9519 – our menu will prompt you through your choice(s) <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call the telephone number listed on your statements and other correspondence or go to http://voya.com/contact-us
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Privacy Notice



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Who we are	
Who is providing this notice?	This notice is provided by certain companies owned by Voya Financial, Inc. A list of these companies is provided at the end of this notice.
What do we do	
How does Voya protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Voya collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> • open an account or give us your contact information • apply for insurance or seek advice about your investments • tell us about your investment or retirement portfolio We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes – information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> • Our affiliates include companies with the Voya name; financial companies such as Voya Retirement Insurance and Annuity Company; and nonfinancial companies such as Voya Services Company.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> • Voya does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> • Voya does not jointly market.
Other important information	
We will comply with more restrictive state laws to the extent that they apply. If you live in an "opt-in" state such as California or Vermont, we will obtain your affirmative consent to share your personal information with nonaffiliates who do not currently assist us in servicing your account or conducting our business. If you are a participant in a retirement plan sponsored by your current or former employer, we will not share your personal information to the extent prohibited by your plan sponsor.	
Voya affiliates	
This notice is provided by: Directed Services LLC; Midwestern United Life Insurance Company; ReliaStar Life Insurance Company; ReliaStar Life Insurance Company of New York; Security Life Assignment Corp.; Security Life of Denver Insurance Company; Voya America Equities, Inc.; Voya Capital Corporation, LLC; Voya Financial, Inc.; Voya Financial Partners, LLC; Voya funds; Voya Funds Services, LLC; Voya Institutional Plan Services, LLC; Voya Institutional Trust Company; Voya Insurance and Annuity Company; Voya Investments, LLC; Voya Investments Distributor, LLC; Voya Retirement Advisors, LLC; Voya Retirement Insurance and Annuity Company	